## **EU** moves into military science and technology

Stuart Parkinson, SGR, outlines plans for a huge increase in funding from the European Union for military R&D.

In December 2016, the European Parliament voted to approve a new programme which, for the first time, provided major European Union funding for military research projects. It is planned that the programme, called the Preparatory Action on Defence Research (PADR), will spend approximately 90 million euros over the three years to 2020.<sup>1</sup>

However, this is just the beginning. In June 2017, the European Commission officially launched a new European Defence Fund. Current proposals for this fund, if accepted by Member States and the European Parliament, would rapidly expand the EU funding available to the arms industry. Specifically, spending on military research, development and related activities would increase to at least 1,500 million euros per year (m €y) from 2021 onwards − 50 times the current level.² And it is important to understand that this funding is additional to the large budgets already provided by national governments in Europe for military R&D.

How did the European Union – a civilian organisation and recipient of the Nobel Peace Prize – come to this? And how can it be challenged?

## The expansion of EU funding for R&D for security and military technologies

Growing integration of the economic and political systems of EU nations in civilian areas has, over the last couple of decades, led to increasing links between the EU and European arms corporations, not least in R&D. This has taken place in two key areas: the emerging 'security' sector, which is focused on technologies for law enforcement; and 'traditional defence' work.

EU-funded R&D for security was the first area to expand. In 2003, the EU adopted a European Security Strategy for the first time. This led to the setting up of the European Security Research Programme, which initially ran from 2007 to 2013 with a budget of 200m €y. This was increased in the next budget period — which runs from 2014 to 2020 — to over 240m €y. Many of the main beneficiaries of the research fund have been arms corporations, and key areas of R&D have included surveillance and border control technologies, including drones.<sup>3</sup>

The growth of EU-funded military R&D has proceeded at a much slower rate until very recently. In 2004,

after concerted lobbying by industry, the European Defence Agency (EDA) was founded, a formal body within the EU whose mission is "to improve European defence capabilities". Within this, the EDA is "stimulating defence Research and Technology (R&T) and strengthening the European defence industry".4

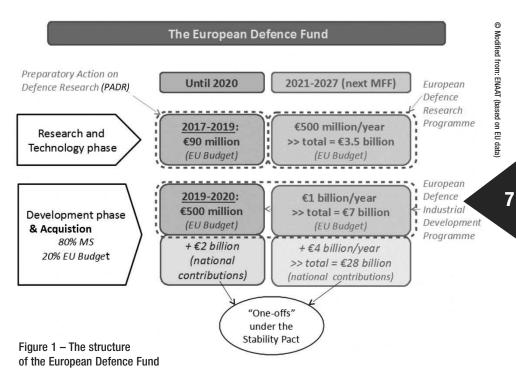
The EDA has run numerous small industrial collaborations since 2004. However, it was not until 2016 that it launched its first research programme, awarding 1.4m € to three projects focused on innovation in aerial drones and 'urban warfare' technology.<sup>5</sup> The progress made with this programme in its first few months was deemed sufficient to lead to the rapid approval of the PADR — with a *20-fold* increase in annual spending.

Then, just six months on from the approval of the PADR, the proposals for the European Defence Fund were launched including requests for further massive increases in funding.<sup>6</sup> The structure of the fund is shown in Figure 1. It is planned that the PADR would form the research segment of this fund. From 2021, the PADR would expanded into the European Defence Research Programme, with EU funding of 500m €y up until 2027 (the end of the next EU budget period). This funding would be focused on basic and applied research in universities, public research institutes and corporate laboratories. In tandem with this, the European Defence Industrial Development Programme would be set up, initially with EU funding of 250m €y from 2019 to 2020, rising to 1,000m

€y from 2021 to 2027. The focus of this programme would be to carry out development work and assist armed forces in the EU with the acquisition of the new military technologies. Hence the total EU funding per year for military research, development and acquisition assistance would total 1,500m €y from 2021 to 2027. On top of this EU funding, Member States would be expected to provide additional direct funding to these programmes, multiplying them further (see Figure 1).

A key priority for the first research projects being funded under the PADR are robotic systems, especially military drones<sup>7</sup> – and the signs are that such technologies will be a priority under the European Defence Fund in general, despite their highly controversial nature.

The proposed rapid growth of EU funding for military R&D is startling, but the European Defence Agency argues it is necessary. It has compiled data showing that national governments within the EU spend, in total, approximately 8,800m €y on military R&D<sup>8</sup> − a very large amount. However, the EDA is quick to point out that its figures show a real term fall of 1,900m €y from the 2006 level, before the global financial crisis. Hence it is lobbying hard for the European Defence Fund to at least make up the difference. To reinforce this argument, it points especially to growing Russian militarism, not least the takeover of Crimea in 2014.



### Challenging the European Defence Fund

Although the PADR is now underway, there is still time to challenge the level of funding planned for other elements of the European Defence Fund. The next decision to be taken is on the size of the budget for the European Defence Industrial Development Programme for 2019 and 2020. In particular, the European Parliament is likely to vote on this in March or April 2018. Decisions on funding for programmes from 2021 onwards are likely to take place in late 2019. UK citizens will obviously be able to have a say in 2018 decisions but, if Brexit proceeds as planned, this will prevent direct input after early 2019. Nevertheless, there would be opportunities to support campaigners within the EU after Brexit happens.

Which arguments are most likely to influence MEPs and other European decision-makers?

First, let's consider national defence, especially with regard to Russia. Although Russia's military spending has increased markedly over the last decade, the total it has spent during this time has been only *one-fifth* that spent by EU countries. And economic pressures — including EU sanctions following the invasion of Crimea — mean that that spending is likely to fall in the near future. This dominance is mirrored in military equipment, with the European members of NATO having force superiority over Russia in nearly all conventional weapons systems deployed — from battle tanks to warships. So it is hard to see a convincing argument for the European Defence Fund based on national defence.

But, of course, the EU is a civilian alliance. Military issues are reserved to national governments, and hence decisions about key policies and funding streams are taken at that level (although some elements are co-ordinated through the EU via the EDA). So why should the EU be setting up a defence fund at all? Officials argue that funding of R&D within arms corporations is part of industrial policy, and therefore the EU has a role here. Indeed, it is notable that the officials with responsibility for the

European Defence Fund have portfolios in industrial and economic policy, and use the language of efficiency and competitiveness when justifying the high level of proposed funding – and are keen to expand export markets.<sup>11</sup>

But the arms industry already receives numerous large subsidies from national governments – such as export guarantees and R&D funds. Furthermore, there is significant evidence that civilian industries are generally more beneficial in terms of economic

performance and job creation. <sup>12</sup> Indeed, there is a major question mark over whether the arms industry contributes as much to the R&D activity of the EU as EDA figures indicate – see Box 1. Hence it is hard to see the economic justification for yet more public financial assistance for the military industrial sector.

Then, of course, there are the human rights issues. The EU collectively is the second largest arms exporter after the USA. Despite arms export controls, EU countries still sell weapons and other military equipment to numerous governments with poor human rights records. Saudi Arabia is the largest recipient of EU arms exports, despite the equipment being used in the current war in Yemen, and despite evidence from the UN that this equipment has contributed to war crimes.

Of course, the funding proposed for the European Defence Fund could be used instead for much needed civilian programmes. Indeed, in order to provide the planned 590m € to enable the creation of the European Defence Fund programmes in the current budget period (up until 2020), money is planned to be diverted from existing civilian programmes — including environmental protection, sustainable development, energy security and satellite navigation.¹⁵ R&D in all these areas could help tackle the roots of conflict, which is arguably where the EU should focus its spending to improve global security. SGR (among others) has made this argument repeatedly over the years.¹6

### Support the campaign against the European Defence Fund

To support the campaign against the European Defence Fund and its constituent programmes, SGR has joined with other European campaign groups to set up the *Researchers for Peace* website, https://www.researchersforpeace.eu/ Here, researchers from universities, public research bodies and industry are invited to sign a statement opposing the fund.

We also encourage you to write to your MEP as soon as possible, making some of the points in this article.

Dr Stuart Parkinson is Executive Director of Scientists for Global Responsibility, and has written extensively on military involvement in science and technology.

### Box 1 – European military R&D spending – whose figures are right?

The European Defence Agency claims that the national governments in the EU, in total, spend about 8,800m  $\mbox{\ensuremath{\ensuremath{\notin}}}$  on military R&D. However, Eurostat – the EU's statistical agency – has published very different figures, which are summarised in Table 1. Its total is approximately 4,600m  $\mbox{\ensuremath{\ensuremath{\notin}}}$  – just under half the EDA estimate. Which should we believe?

Country	Military R&D spending	Military R&D spending
	(million euros)	(% of public R&D spending)
United Kingdom	2,293	16.4%
France	1,017	7.2%
Germany	827	3.1%
Other EU countries	505	1.2%
EU (all 28 countries) (total national spending)	4,642	4.8%

Table 1 – Military R&D spending of selected European countries, as compiled by Eurostat<sup>17</sup> (2015 data)

In compiling its figures, Eurostat uses strict classifications of research and development, known as the 'Frascati definitions'.<sup>18</sup> These are internationally agreed so that robust and consistent statistics can be compiled for different organisations and countries. Unfortunately, EU defence ministries tend to compile two sets of R&D figures<sup>19</sup> – a Frascati-compliant set and another set which uses much broader definitions of R&D (including later stages in the commercialisation process). The second set is the source of the EDA figures. Hence their estimates are much higher. This can give the impression that the military industrial sector is more valuable in terms of R&D than other civilian sectors. This would be a misleading perception.

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# **Feature Articles**

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### **Nuclear weapons: breakthrough or breaking point?**

(contd. from page 1)

The need to negotiate a way out of this increasingly dangerous stand-off — and to end the nuclear arms build-up that is accelerating in other parts of the world — seems very clear.

### A new UN treaty

The increasing threat of nuclear war on the Korean peninsula has spurred a very different reaction from elsewhere in the world. In 2007, anti-nuclear activists set up the International Campaign to Abolish Nuclear Weapons (ICAN) to re-energise stalled efforts at banning and eliminating these weapons of mass destruction.5 (SGR quickly became an active supporter, and remains so - see p.3). Gathering support from sympathetic non-nuclear weapons states, notably Austria, Brazil, Costa Rica, Ireland, Mexico and South Africa, ICAN engaged a new generation of activists focusing on the 'humanitarian impacts' that the use of any nuclear weapon would have, even on countries not targeted by them. This campaign culminated, in July 2017, in the agreement by 122 nations of the Treaty on the Prohibition of Nuclear Weapons (TPNW). It became the first UN treaty to ban nuclear weapons outright, and prohibits development, testing, manufacture, possession, transfer, deployment, and threat of use, as well as actual use. Crucially, it also bans assistance, which includes financing.6

Although the nuclear weapons nations, and their supporters in military alliances such as NATO, have so far rejected the treaty, the TPNW is a critical step. Supporters point to the crucial role that similar UN treaties — such as those on chemical weapons or landmines — have had in pressurising even non-signatories to first reduce the numbers of weapons deployed and then, in many cases, actually joining the ban. In recognition of the importance of this advance, ICAN was awarded the 2017 Nobel Peace Prize.<sup>7</sup>

The next step is to convince at least 50 nations to ratify the TPNW, which will enable the treaty to enter into legal force. ICAN is campaigning for this to happen within 1,000 days of the Nobel prize award ceremony. At the time of writing, 56 governments have so far signed the treaty with five having proceeded to ratification.<sup>8</sup>

Nuclear disarmament is no easy task — and the risk of some sort of war on the Korean peninsula remains disturbingly real. Yet the TPNW offers a credible route by which states can choose a way out of nuclear arms races that so threaten the world's future.

Dr Stuart Parkinson is Executive Director of Scientists for Global Responsibility, and coauthor of the SGR report, *UK nuclear weapons:* a catastrophe in the making?

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